



Saint Regis Mohawk Tribe

Tsiothóhrha | December 23, 2019

Shé:kon | Greetings Contractor, Vendor or Agent:

The purpose of this letter is to inform you of the Saint Regis Mohawk Tribe's ("SRMT" or "Tribe") Corporate Compliance Program: False Claims Act and Whistleblower Provisions ("Corporate Compliance Program"). The SRMT is dedicated to maintaining excellence and integrity in all aspects of its operations and professional and business conduct. For the purposes of the Corporate Compliance Program, the term "SRMT" or "Tribe" shall include all of its programs, including but not limited to, the Tribe's Health Services Clinic and Family Support Program.

A goal of the Corporate Compliance Program is to educate appropriate employees along with all contractors, vendors, and agents of the Tribe who furnish or otherwise authorize the furnishing of Medicaid health care items or services, or who are involved in monitoring of health care provided by SRMT with respect to federal, tribal and state laws and regulations with which they must comply. If you are receiving this letter and you are a contractor, vendor or agent of the SRMT please relay this information to your employees. Please advise them the SRMT's Corporate Compliance Program is available at corporatecompliance@srmt-nsn.gov. As a contractor, vendor or agent of the SRMT who handles Medicaid health care items or services or are involved in monitoring health care you must abide by the Tribe's Corporate Compliance Program. The Corporate Compliance Program is available at corporatecompliance@srmt-nsn.gov. It will provide you with the necessary information as required by the Deficit Reduction Act.

The Corporate Compliance Program is intended to provide reasonable assurance that the SRMT:

1. Complies in all material respects with all federal and other applicable laws and regulations that are applicable to our operations;
2. Satisfies the conditions of participation in health care programs funded by the state and federal government and the terms of its other contractual arrangements;
3. Detects and deters criminal conduct or other forms of misconduct by directors, officers, employees, medical staff, agents and contractors that might expose the SRMT to significant civil liability;

4. Promotes self-auditing and self-policing, and provides for, in appropriate circumstances, voluntary disclosure of violations of laws and regulations;
5. Establishes, monitors, and enforces high professional and ethical standards.

Questions about the legality or propriety of any actions undertaken by or on behalf of the SRMT, or its vendors, contractors or other agents should be referred immediately to the SRMT's Corporate Compliance Officer. You can reach the Corporate Compliance office at 518-358-2272 extension 2190 or by email at corporatecompliance@srmt-nsn.gov. Anyone who wishes to report violations or discuss ethical concerns may do so through submitting a confidential report at corporatecompliance@srmt-nsn.gov or via email at natalie.hemlock@srmt-nsn.gov.

Niá:wen | Thank you,



Mr. Tsiorasa Barreiro
SRMT Executive Director